# Moron Maps and subspaces of N\* under PFA

#### Alan Dow

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winter school 2010

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if there is a continuous lifting then  $\Phi$  is trivial.

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 $\exists [s_1; n], [s_2; n] \Vdash (F(g_1) \star F(g_2)) \Delta F(g_1 \star g_2) \subset n$ where  $\star$  is one of  $\{\cap, \cup, \Delta, -\}$ 

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otherwise meeting countably many dense sets, including some to get inside dense  $G_{\delta}$  set  $\mathcal{X}$ , we find  $v_1, v_2 \subset \mathbb{N}$  yielding, e.g.  $\Phi(v_1) \star \Phi(v_2) =^* F(v_1) \star F(v_2) \neq^* F(v_1 \star v_2) =^* \Phi(v_1 \star v_2)$ 

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Now in the extension: by continuity for  $a \in \mathcal{X}$ 

$$\Phi(a) =^* F_1(a) = \lim_m F_1((a \cap m) \cup g_i - m)$$
 and  
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little bit easy exercise, ( $\forall x \subset \mathbb{N}$ ), there are  $a, b \in \mathcal{X}$  such that  $x = a\Delta b$ ,

hence  $F_1$  has a unique continuous extension,  $\tilde{F}$ , to  $\mathcal{P}(\mathbb{N})$ , and this is a *pure* lifting

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now define  $h(i) \in \tilde{F}(\{i\})$  for  $a \in \mathcal{X}$  and check that h induces  $\Phi$ 

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(skipping) proof: Assume that  $F : \mathcal{P}(\mathbb{N}) \mapsto \mathcal{P}(\mathbb{N})$  is a continuous function (after forcing with  $2^{<\omega}$ ) and that  $F(X) =^* \Phi(X)$  for all  $X \in \mathcal{P}(\mathbb{N})$ . Put  $X \in \mathbb{X}_{p,n}$  providing  $p \Vdash F(X) \setminus n = \Phi(X) \setminus n$ . Find p, n and  $s \subset n$  such that  $\mathbb{X}_{p,n}$  is dense in [s; n]

Let  $Y \in [s; n] \cap V$  and let  $\{X_k : k \in \omega\} \subset \mathbb{X}_{p,n} \cap [s; n]$  converge to *Y*. Then  $p \Vdash F(Y) = \lim_k F(X_k) =^* \Phi(Y)$ , hence  $F(Y) \in V$ .

Thus,  $\Phi_s(X) = \Phi(s \cup (X \setminus n))$  is a continuous lifting for the same homomorphism.

Theorem: let  $\Phi$  be a lifting of a mod fin homomorphism which has no Borel lifting, then adding a Cohen real will not add a continuous lifting for  $\Phi \upharpoonright V \cap \mathcal{P}(\mathbb{N})$ .

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If  $\dot{Y}$  is a Cohen (i.e.  $P = \{[s; n] : s \subset n \in \mathbb{N}\}$ ) name of  $\subset \mathbb{N}$ , then there is a Borel map (continuous on a dense  $G_{\delta}$ )  $F_{\dot{Y}}$  such that, in the extension,  $F_{\dot{Y}}(g) = val_g(\dot{Y})$ 

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AND, **Lemma** there are  $x \subset a \subset \mathbb{N}$ ,  $\mathbb{N} \setminus a \notin triv(\Phi)$ such that  $\Vdash F_{\dot{Y}}(x \cup (g \setminus a)) \cap \Phi(a) \neq^* \Phi(x)$ 

i.e.  $\Vdash_{P_{x,a}} \dot{Y} \cap \Phi(a) \neq^* \Phi(x)$ 

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Apply above Lemma to obtain  $x_0 \subset a_0 \subset \mathbb{N}$  with  $\mathbb{N} \setminus a_0 \notin triv(\Phi)$ , and  $\Vdash F_0(x_0 \cup (g \setminus a_0)) \cap \Phi(a_0) \neq^* \Phi(x_0)$ 

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this hands us countably many dense sets that we must protect

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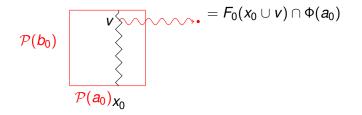
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Claim: (there is)  $x_0$  such that for comeager many  $v \subset b_0$ ,  $F_0(x_0 \cup v) \cap \Phi(a_0) \neq^* \Phi(x_0)$ .

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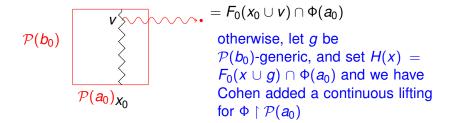
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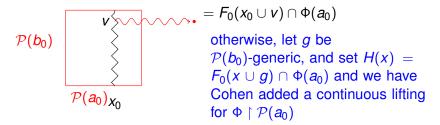
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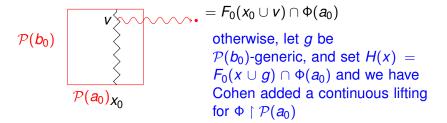


repeat this, obtaining  $x_k \subset a_k \subset b_{k-1}$  so that  $\Phi(x_k) \neq^* F_k(x_0 \cup \cdots x_k \cup v) \cap \Phi(a_k)$  for comeager many  $v \subset b_k = b_{k-1} \setminus a_k$ .

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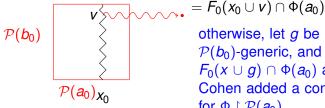
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Claim: (there is)  $x_0$  such that for comeager many  $v \subset b_0$ ,  $F_0(x_0 \cup v) \cap \Phi(a_0) \neq^* \Phi(x_0).$ 



otherwise, let g be  $\mathcal{P}(b_0)$ -generic, and set H(x) = $F_0(x \cup g) \cap \Phi(a_0)$  and we have Cohen added a continuous lifting for  $\Phi \upharpoonright \mathcal{P}(a_0)$ 

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#### Shelah-Steprans Q and A; Step 1

More Cohen forcing connections.

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Lemma 1 again: Let  $\dot{Y}$  be a  $P = [\mathbb{N}]^{<\omega}$ -name of a subset of  $\mathbb{N}$  and  $\Phi$  be a homomorphism. Let  $\dot{Y} \in M \prec H(\theta)$  be countable and let  $\mathfrak{D}$  be the set of dense subsets of P. Let b not in  $triv(\Phi)$ .

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For  $x \subset a \subset b$ ,  $P_{x,a} = \{p \in P : p \cap a = x \cap \max(p)\}$ . We assume *b* is one of the "many" sets *b* so that for all  $x \subset a \subset b$ ,  $D \cap P_{x,a}$  is dense in  $P_{x,a}$  for all  $D \in \mathfrak{D} \cap M$ .

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If *g* is *P*-generic, then  $g_{x,a} = x \cup (g \setminus a)$  is generic for  $P_{x,a}$ .

In V[g], we know that  $F_{\dot{Y}}$  is Borel on  $\mathcal{P}(a)$ . and that there are  $x \subset a \subset b$  with  $b \setminus a \notin triv(\Phi)$ 

More Cohen forcing connections.

Lemma 1 again: Let  $\dot{Y}$  be a  $P = [\mathbb{N}]^{<\omega}$ -name of a subset of  $\mathbb{N}$  and  $\Phi$  be a homomorphism. Let  $\dot{Y} \in M \prec H(\theta)$  be countable and let  $\mathfrak{D}$  be the set of dense subsets of P. Let b not in  $triv(\Phi)$ .

For  $x \subset a \subset b$ ,  $P_{x,a} = \{p \in P : p \cap a = x \cap \max(p)\}$ . We assume *b* is one of the "many" sets *b* so that for all  $x \subset a \subset b$ ,  $D \cap P_{x,a}$  is dense in  $P_{x,a}$  for all  $D \in \mathfrak{D} \cap M$ .

If *g* is *P*-generic, then  $g_{x,a} = x \cup (g \setminus a)$  is generic for  $P_{x,a}$ .

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such that  $1 \Vdash_{P_{x,a}} \Phi(x) \neq^* \dot{Y}_{g_{x,a}} \cap \Phi(a)$ .

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Also ensure that for all  $Y \in M_{\alpha}$  which are  $Q_{\alpha}$ -names,  $Yg_{x_{\alpha},a_{\alpha}} \cap \Phi(a_{\alpha}) \neq^{*} \Phi(x_{\alpha}).$ 

One of the roles the models  $M_{\alpha}$  is to ensure that  $Q_{\omega_1}$  (or  $Q_{\mathcal{A}}$ ) is ccc (easy-ish  $\diamond$  argument).

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All this adds up to  $\{c_{\alpha} = \Phi(x_{\alpha}), d_{\alpha} = \Phi(a_{\alpha} \setminus x_{\alpha}) : \alpha \in \omega_1\}$  is a *freezable* gap (while  $\{x_{\alpha}, (a_{\alpha} \setminus x_{\alpha})\}$  is split by *g*).

pulling it all back via PFA, there is a sequence  $\{a_{\alpha} \supset x_{\alpha} : \alpha \in \omega_1\}$  and a set  $X \subset \mathbb{N}$  such that  $X \cap a_{\alpha} =^* x_{\alpha}$  for all  $\alpha$ , but, there is no set Y such that  $Y \cap F(a_{\alpha}) =^* F(x_{\alpha})$ .

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**Theorem:** if we are stuck in choosing  $a_{\alpha} \supset x_{\alpha}$ , it is because  $triv(\Phi)$  is ccc over fin and  $\Vdash_{Q_{\alpha}} \Phi \upharpoonright \{a_{\beta} : \beta < \alpha\}^{\perp} \cap V = \mathcal{J}$  is  $\sigma$ -Borel. (hence trivial on each  $b \in \mathcal{J}$ )

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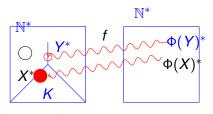
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[Velickovic]  $\sigma$ -Borel plus trivial on each member of a P-ideal  $\mathcal{J}$  implies there is a single *h* inducing  $\Phi$  on each member of  $\mathcal{J}$ .

# non-trivial embeddings of ℕ\*

now we'd like to note the important theorem of [Farah] PFA implies that if  $K \subset \mathbb{N}^*$  is homeomorphic to  $\mathbb{N}^*$ , then the interior of K is clopen (=  $A^*$ ) and  $K \setminus A^*$  is ccc over fin.

Let *f* be a homeomorphism from  $\mathbb{N}^*$  to *K*. Define the dual homomorphism  $\Phi$  by  $\Phi(X) \subset \mathbb{N}$  is such that  $\Phi(X)^* = f^{-1}(X^* \cap K)$ .



Since  $X^* \cap \partial K \neq \emptyset$  means that  $X \notin triv(\Phi)$ , we have that  $\partial K$  is ccc over fin which shows that int(K) is clopen (i.e. regular closed sets do not have ccc over fin boundary)

#### some known consequences of trivial on ccc over fin

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the measure algebra does not embed in  $\mathcal{P}(\mathbb{N})/fin$ still open: if  $\mathbb{N}^*$  maps onto compact ED *X*, must *X* be separable?

 $\mathbb{R}^*$  does not map onto the separable continuum: the Stone-Cech compactification of the long repeating topologist's sine curve (the closure of the graph of  $\sin(1/(x - \lfloor x \rfloor))$ )

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By MA we are able to show that *K* is nowhere ccc and that for each open  $U \subset K$ , there is a  $b \subset \mathbb{N}$  such that  $f \upharpoonright b^*$  is 1-to-1 into *U*.

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## PFA resolves the 2-to-1 mapping question

Let *f* be a 2-to-1 map from  $\mathbb{N}^*$  onto a space *K*. Let  $\mathcal{I}$  be those  $I \subset \mathbb{N}$  such that  $f \upharpoonright I^*$  is locally 1-to-1 and  $f[I^*]$  open in *K*.

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II. PFA and automorphisms

## clopen copies of $\mathbb{N}^*$ in *K*

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Next find  $a_{\alpha} \subset \mathbb{N}$  such that  $f^{-1}(\overline{W_{\alpha}}) \subset a_{\alpha}^*$  and  $a_{\alpha}^* \cap f^{-1}(K \setminus U_{\alpha})$  is empty.

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Fix any infinite  $b_{\alpha} \subset a_{\alpha}$  such that  $f[b_{\alpha}^*] \subset W_{\alpha}$  and  $f \upharpoonright b_{\alpha}^*$  is 1-to-1. If  $f[b_{\alpha}^*]$  has any interior, we have succeeded. So, we assume instead, that for all  $\alpha$ ,  $f[b_{\alpha}^*]$  is nowhere dense.

II. PFA and automorphisms

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Following the Shelah-Steprans method, we can force with  ${}^{<\omega_1}2$ and then construct a sequence  $\{c_\alpha, d_\alpha : \alpha \in \omega_1\}$ , so that the poset  $Q_{\omega_1}$  is ccc and we obtain a gap from  $\{H_\alpha(d_\alpha), H_\alpha(c_\alpha \setminus d_\alpha) : \alpha \in \omega_1\}.$ 

II. PFA and automorphisms

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This gives us a set X (forced by  $Q_{\omega_1}$ ) satisfying that  $X \cap c_{\alpha} =^* d_{\alpha}$  for all  $\alpha$ . We are sure that there are uncountably many  $\alpha$  such that  $X \cap b_{\alpha}$  is not mod finite equal to  $H_{\alpha}(d_{\alpha})$ .

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We have the gap  $\{H_{\alpha}(d_{\alpha}), b_{\alpha} \setminus H_{\alpha}(d_{\alpha}) : \alpha \in \omega_1\}$ , which implies there is a point *w* in  $\bigcup_{\alpha} (H_{\alpha}(d_{\alpha}))^* \cap \bigcup_{\alpha} (b_{\alpha} \setminus H_{\alpha}(d_{\alpha}))^* \subset (\mathbb{N} \setminus A)^*$ 

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That means f(w) has 3 points in its preimage!

# non-empty $G_{\delta}$ 's have non-empty interior

Next Lemma: *K* has the property that non-empty  $G_{\delta}$ 's have non-empty interior. (uses Farah's theorem)

Let  $\{U_n\}_n$  be the sequence of open sets such that  $\overline{U_{n+1}} \subset U_n$ . For each *n*, we have some  $(b_n \cup c_n) \in \mathcal{I}$  such that  $f[b_n^*] = f[c_n^*] \subset U_n \setminus \overline{U_{n+1}}$  and is a clopen subset of *K*.

For each *n*,  $f^{-1}(U_n)$  is an open set in  $\mathbb{N}^*$  which contains the closure of  $\bigcup_{k\geq n}(b_k\cup c_k)^*$ . Thus we can arrange that  $\left(\bigcup_{k\geq n}(b_k\cup c_k)\right)^*$  is contained in  $f^{-1}(U_n)$  for each *n*. If  $U = K \setminus f[(\mathbb{N} \setminus \bigcup_n b_n)^*] \subset \bigcap_n U_n$  is not empty then we are done.

o/w, set  $b = \bigcup_n b_n$  and notice that  $f \upharpoonright b^*$  must be 1-to-1 (since  $f[(\mathbb{N} \setminus b)^*] \supset f[b^*]$ ).

Then we use the CH \* Cohen \* OCA trick to finish as follows.

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Let  $x \in \mathbb{N}^*$  be any point witnessing that *f* is not locally 1-to-1.

To save time, just assert that using non-empty  $G_{\delta}$ 's have non-empty interior in K, we can construct a sequence  $\{a_{\alpha} : \alpha \in \omega_1\} \subset \mathcal{I}$  converging to x

# Probably skip the construction of $\{a_{\alpha} : \alpha \in \omega_1\}$

Fix any  $E \in x$  such that  $f(x) \in f[(\mathbb{N} \setminus E)^*]$ . If there were any  $G_{\delta}$  of *K* containing f(x) and contained in  $f[E^*] \cap f[(\mathbb{N} \setminus E)^*]$ , then *f* would be locally 1-to-1 at *x*.

Suppose we are given any countable  $\mathcal{A} \subset x$ , we may by enlarging  $\mathcal{A}$  assume that for each  $a \in \mathcal{A}$ , there is an  $\tilde{a} \in \mathcal{A}$  such that  $f[\tilde{a}^*] \cap f[(E \setminus a)^*]$  is empty.

 $K \setminus \bigcup_{a \in \mathcal{A}} f[(E \setminus a)^*]$  is a  $G_{\delta}$  containing f(x) and so can not be contained in  $f[(\mathbb{N} \setminus E)^*]$ .

And since it has dense interior, there is a  $b \in \mathcal{I}$  such that  $f[b^*] \subset U$ . It is easily checked that  $b \prec \mathcal{A}$ .

This completes the proof that given countable A from x, there is a  $b \prec A$  such that  $b \in I$ .

II. PFA and automorphisms

### now we finish the proof

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Force with  $3^{<\mathbb{N}}$  thus adding a partition  $C_0, C_1, C_2$  $(\alpha, \beta) \in R$  (per OCA) if there are  $i \in C_0 \cap a_\alpha, j \in C_1 \cap a_\beta$  so that  $h_\alpha(i) = h_\beta(j) \in C_2$ .

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{  $C_2 \cap h_\alpha(a_\alpha \cap C_0)$ ,  $C_2 \cap h_\alpha(a_\alpha \cap C_1) : \alpha \in \omega_1$ } forms a gap, and if  $w \in C_2^*$  is in common closure, there are  $x \in C_0^*$  and  $y \in C_1^*$  such that f(x) = f(w) = f(y)